



LHE  
42405  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 12/07/93  
TO:

N02B

COOPER & DUNHAM  
LEWIS H. ESLINGER  
30 ROCKEFELLER PLAZA  
37TH FLOOR  
NEW YORK, NY 10112

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:  
FUJISHITA, KANEAKI.

DOC DATE: 08/06/93

ASSIGNOR:  
SAKURAI, OSAMU

DOC DATE: 08/06/93

ASSIGNOR:  
NAGAHARA, JUNICHI

DOC DATE: 08/06/93

RECORDATION DATE: 08/17/93 NUMBER OF PAGES 002 REEL/FRAME 677/0098

DIGEST : ASSIGNMENT OF ASSIGNMENT

ASSIGNEE:  
SONY CC  
6-7-35  
SHINAGA

Applicant Kaneaki Fujishita, et al.  
Client SONY CORPORATION File No. 7217/42405 LHE  
Date 17 August 1993

SERIAL NUMBER  
PATENT NUMBER

EXAMINER/PAR  
ASSIGNMENT BI  
ASSIGNMENT/CI

Kindly acknowledge receipt of the accompanying  
Submit PCT application for filing  
Specification (38 pages)  
Drawings (23 sheets)  
Assignment & declaration of power of attorney (executed)  
Assignment Recordation form  
Verification of Translation (executed)  
Check # 14993, \$1536.00  
RECEIVED  
COOPER & DUNHAM  
AUG 27 1993  
DOCKET CLERK

27 Rec'd PCT/PTO 17 AUG '93

by placing your receiving date stamp hereon and returning to us.

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

17/42409

08/107681

Tab settings □ □ □ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

39 Rec'd PCT/PTO 17 AUG '93

## 1. Name of conveying party(ies):

Kaneaki Fujishita, Osamu Sakurai  
and Junichi NagaharaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 6 August 1993

## 2. Name and address of receiving party(ies)

Name: SONY CORPORATION

Internal Address:

Street Address: 6-7-35 Kitashinagawa

6-Chome, Shinagawa-ku, Tokyo, Japan

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 1993

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lewis H. Eslinger

Internal Address: COOPER &amp; DUNHAM

Street Address: 30 Rockefeller Plaza

37th Floor

City: New York State: NY ZIP: 10112

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

03-3125

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

120 WP 09/01/93 08107681

1 581

40.00 CR

93403258

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lewis H. Eslinger

Name of Person Signing

Signature

17 August 1993

Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington D.C. 20231

# Guidelines for Completing Patents Cover Sheets

Cover Sheet information must be submitted with each document to be recorded. If the document to be recorded concerns both patents and trademarks, separate patent and trademark cover sheets, including any attached pages for continuing information, must accompany the document. All pages of the cover sheet should be numbered consecutively, for example, if both a patent and trademark cover sheet is used, and information is continued on one additional page for both patents and trademarks, the pages of the cover sheet would be numbered from 1 to 4.

## Item 1. Name of Conveying Party(ies).

Enter the full name of the party(ies) conveying the interest. If there is insufficient space, enter a check mark in the "Yes" box to indicate that additional information is attached. The name of the additional conveying party(ies) should be placed on an attached page clearly identified as a continuation of the information Item 1. Enter a check mark in the "No" box, if no information is contained on an attached page.

## Item 2. Name and Address of Receiving Party(ies).

Enter the name and full address of the first party receiving the interest. If there is more than one party receiving the interest, enter a check mark in the "Yes" box to indicate that additional information is attached. Enter a check mark in the "No" box, if no information is contained on an attached page.

## Item 3. Nature of Conveyance.

Place a check mark in the appropriate box describing the nature of the conveying document. If the "Other" box is checked, specify the nature of the conveyance. Enter the execution date of the document. It is preferable to use the name of the month, or an abbreviation of that name, in order that confusion over dates is minimized.

## Item 4. Application Number(s) or Patent Number(s).

Indicate the application number(s), and/or patent number(s) against which the document is to be recorded. National application numbers must include both the series code and the serial number; and international application numbers must be complete, e.g., 07/123,456 for national application numbers, and PCTUS91/12345 for international application numbers. Enter a check mark in the appropriate box: "Yes" or "No" if additional numbers appear on attached pages. Be sure to identify numbers included on attached pages as the continuation of Item 4.

## Item 5. Name and Address of Party to whom correspondence concerning the document should be mailed.

Enter the name and full address of the party to whom correspondence is to be mailed.

## Item 6. Total Applications and Patents Involved.

Enter the total number of applications and patents identified for recordation. Be sure to include all applications and patents identified on the cover sheet and on additional pages.

## Block 7. Total Fee Enclosed.

Enter the total fee enclosed or authorized to be charged. A fee is required for each application and patent against which the document is recorded.

## Item 8. Deposit Account Number.

Enter the deposit account number to authorize charges. Attach a duplicate copy of cover sheet to be used for the deposit charge account transaction.

## Item 9. Statement and Signature.

Enter the name of the person submitting the document. The submitter must sign and date the cover sheet, confirming that to the best of the persons knowledge and belief, the information contained on the cover sheet is correct and that any copy of the document is a true copy of the original document. Enter the total number of pages including the cover sheet, attachments, and document.

Public burden reporting for this sample cover sheet is estimated to average approximately 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed to complete the sample cover sheet. Send your comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231 and to the Office of Management and Budget, Paperwork Reduction Project (065-0011), Washington, D.C. 20503. (DO NOT SEND COMPLETED COVER SHEETS WITH ASSIGNMENTS TO THIS ADDRESS).